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اد	3/		Application Number	10/632,239
*EMPH	TRANSMITTAL		Filing Date	July 31, 2003
	FORM		First Named Inventor	Robert A. Germaine
(to be used for all correspondence after initial filing)			Art Unit	
			Examiner Name	
То	tal Number of Pages in This Submission	5	Attorney Docket Number	166538004US

ENCLOSURES (Check all that apply)							
Fee Transmittal Form	□ Drawing(s)□ Licensing-related Papers	After Allowance communication to Group					
Fee Attached		Appeal Communication to Board					
Preliminary Amendment filed	Petition	of Appeals and Interferences					
August 7, 2003 After Final	Petition to Convert to a Provisional Application	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
Affidavits/declaration(s)	Power of Attorney, Revocation	Proprietary Information					
Extension of Time Request	Change of Correspondence Address Terminal Disclaimer Request for Refund	Status Letter					
Express Abandonment Request		Other Enclosure(s) (please Identify below):					
Information Disclosure Statement	CD, Number of CD(s)	return post card					
Certified Copy of Priority Document(s)		copy of return post card dated August 7, 2003					
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks The enclosed Preliminary Amendment was transmitted to the U.S. Patent Office on August 7, 2003, and received by the OIPE (see enclosed copy of the return post card). Apparently the enclosed Preliminary Amendment has not yet been matched to the application because it did not include the application serial number.						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name Maurice J. Pirio							
Signature Williams Tric							
Date October 22 , 2003							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail No. EV335524721US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed Sandy Raisman							

This collection of information is required by 37 CKR 1.3. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 31 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

October 22, 2003

Sandy Reisman

name

Signature



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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Docket No.: 166538004US

SENT: August 7, 2003

PLEASE DATE STAMP AND RETURN

Please acknowledge receipt of the below-listed documents by placing your receiving stamp hereon and mailing:

Preliminary Amendment; in re: Robert A. Germaine and Chris Goz; Filed: July 31, 2003; for METHOD AND SYSTEM FOR ANALYZING THE SECURITY OF A FACILITY.

Perkins Coie LLP

Express Mail Label <u>EV335525735US</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ROBERT A. GERMAINE AND

CHRIS GOZ

APPLICATION No.:

TO BE ASSIGNED

FILED:

JULY 31, 2003

FOR: METHOD AND SYSTEM FOR ANALYZING

THE SECURITY OF A FACILITY

Preliminary Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

Prior to examination on the merits, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2.